

رد/ 20-2-21 PATENT

Attorney Docket No. 72291

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Bradford L. Farris

James J. Fitzgibbon

Appln No.:

09/981,433

Filed:

October 7, 2001

For:

ROLLING CODE SECURITY

SYSTEM

Group Art

Unit:

2131

Examiner:

Not yet assigned

DECEIVED.

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Technology Center 2100

Hon. Commissioner of Patents and Trademar Attn. Assistant Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with MPEP §§601 and 609, 37 C.F.R. §1.98(d), the applicants and the undersigned attorney wish to bring the following information to the Examiner's attention in connection with the examination of the above-captioned application.

Applicants respectfully request that the references cited herein and on the attached Form PTO/SB/08A be considered in the present application and listed on the face of any eventuating patent.

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PATENT

Attny. Docket No. 72291

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In the '149 application, a translation of foreign patent no. DE 32 34 538 Al was provided, and information from an electronic database pertaining to patent nos. DE 32 34 539 Al, DE 33 09 802 Al, DE 33 20 721 Al, DE 33 09 802 C2, DE 34 07 469 Al, DE 35 32 156 Al, DE 36 36 822 Cl, FR 2 606 232, and FR 2 607 544 was provided. Patent no. EP 0 103 790 corresponds to DE 32 34 538 Al, a translation of which was provided in the '149 application. Patent no. DE 32 44 049 Al corresponds to GB 2 131 992 A. Patent no. DE 34 07 436 Al corresponds to EP 0 154 019, and patent no. DE 34 07 469 Al corresponds to EP 0 155 378. Patent no. DE 35 32 156 Al corresponds to US 4,723,121, patent 36 36 822 Cl corresponds to US 4,847,614,

patent no. FR 2 606 232 corresponds to US 4,922,533, and ZA 89 08 225 corresponds to US 5,103,221.

The Commissioner is hereby authorized to charge any additional fees which may be required with respect to this communication to Deposit Account No. 06-1135. This communication is filed in duplicate.

Respectfully submitted,
FITCH, EVEN, TABIN & FLANNERY

Dated: 2/3/03

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Substitute for Form PTO-1449

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 1 of

Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

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Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	_
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	09/981,433
Filing Date	October 17, 2001
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Art Unit	2131
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Attorney Docket	72291

		T	U.S. PATENT I	DOCUMENTS	
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Application Number	09/981,433
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First Named Inventor	Farris et al.
Art Unit	2131
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Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291
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Application Number 09/981,433 titute for Form PTO-1449 Filing Date October 17, 2001 INFORMATION DISCLOSURE First Named Inventor Farris et al. STATEMENT BY APPLICANT Art Unit 2131 (use as many sheets as necessary) Examiner Name Not Yet Assigned Sheet 6 of 19 Attorney Docket 72291

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Sheet 7 of 19

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Application Number	09/981,433
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 9

Application Number	09/681,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

			U.S. PATENT	DOCUMENTS	
Examiner	Cite	Document Number		Party O.I.	
Initials*	No.1	Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appea
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³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is

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Application Number	09/681,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
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PTO/SB/08A **Application Number** 09/681,433 Substitute for Form PTO-1449 Filing Date October 17, 2001 **ÎNFORMATION DISCLOSURE** First Named Inventor Farris et al. STATEMENT BY APPLICANT Art Unit 2131 (use as many sheets as necessary) **Examiner Name** Not Yet Assigned 19 Sheet 11 of Attorney Docket 72291

			U.S. PATENT D	OCUMENTS	
Examiner Initials*	Cite No.¹	Document Number Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines	Γ	
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¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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STATEMENT BY APPLICANT (use as many sheets as necessary)

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Application Number	09/681,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
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Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
Art Unit	2131
Examiner Name	Not Yet Assigned
Attorney Docket	72291

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS	
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Application Number	09/981,433
Filing Date	October 17, 2001
First Named Inventor	Farris et al.
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Substitute for Form PTO-1449				Filing Date	October 17, 2001	
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Sheet	Sheet 15 of 19		Attorney Docket	72291		

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²	
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Application Number 09/981,433 Filing Date October 17, 2001 First Named Inventor Farris Art Unit 2131 Examiner Name

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Sheet 16 of 19

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner nitials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriat						
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PTO/SRIGEA PTO/SRIGEA PARTS Trute for Form PTO-1449				Application Number	09/981,433	
				Filing Date	October 17, 2001	
	RMATIO			First Named Inventor	Farris	
STAT	TEMENT	ВҮ АРР	LICANT	Art Unit	2131	
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Sheet	17	of	19	Attorney Docket	72291	

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published Ruffell, J. Battery Low Indicator, p. 15-165, Eleckton Electronics, (March 1989. (See p. 59).			
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Application Number	09/981,433
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Examiner		Date	
Signature	·	Considered	

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.